



# SWISS AMERICA SECURITIES, LTD

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## Foreign Account Tax Compliance Act (FATCA) Agreement

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*December 1, 2014*

Swiss America Securities Ltd (Suretrader) would like to take this opportunity to advise its United States (US) Citizens and residents about the Foreign Account Tax Compliance Act (FATCA) and its significance to the parties concerned and their accounts held with our institution.

The Foreign Account Tax Compliance Act (FATCA) is an Act legislated under the laws of the United States of America (US) that requires US Persons to report their financial accounts held outside the United States and for foreign financial institutions to report to the International Revenue Service (IRS) about their American clients.

FATCA applies to all domestic and international (offshore) financial institutions operating in The Commonwealth of The Bahamas. Swiss America Securities Ltd (Suretrader) therefore advises all customers that it will be complying with the requirements of FATCA and will be reporting to US Authorities the relevant information on US persons maintaining accounts with this firm.

As a consequence, Swiss America Securities Ltd (Suretrader) has amended its terms and conditions for account holders of which due notice is now given. Swiss America Securities Ltd (Suretrader) notifies all customers of amendments to the said Terms and Conditions and the applicability of FATCA to all Accounts held by US Persons. The amendments allow Swiss America Securities Ltd (Suretrader) to comply with the requirements of the US Authorities and release and discharge Swiss America Securities Ltd (Suretrader), its directors, employees, and related parties from all liabilities arising from Swiss America Securities Ltd (Suretrader) providing information relating to accounts held at Swiss America Securities Ltd (Suretrader) to US Authorities.

Swiss America Securities Ltd (Suretrader) appreciates your cooperation in providing us with your United States tax identification number (TIN), social security number (SSN), or other relevant information as may be required under FATCA. Failure to comply with this request could result in Swiss America Securities Ltd (Suretrader) being obliged to close your account.

Your completion of this form indicates agreement to the terms therein.

Name: \_\_\_\_\_  
Account #: \_\_\_\_\_  
TIN/SSN: \_\_\_\_\_  
Signature: \_\_\_\_\_

If you have any questions or concerns about this notice please contact us at 1-242-328-7800

Kind Regards,

  
Philip Dorsett  
Chief Compliance Officer